

**On the theory of pre-p-nil-rings**

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**Abstract**

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*Generalizing the concept of p-rings, Abian and Mcworter [1] call an associative and commutative ring  $\mathfrak{R}$  with characteristic p a pre-p-ring if  $xy^p = x^p y$  for every x and y in  $\mathfrak{R}$ . It was proved in [1] that every pre-p-ring  $\mathfrak{R}$  is a direct sum  $R = B \oplus N$  of a p-ring B and a nil ring N, where even  $x^{p+2} = 0$  for every  $x \in N$ . It was also proved in [1] that N is the radical of R and hence N uniquely determined by R. Moreover, it is not difficult to show that B is also uniquely determined by R. A simple calculation shows that the converse that the direct sum  $R = B \oplus N$  of a p-ring B and a pre-p-nil-ring N is a pre-p-ring. Since the structure of p-rings is known, there remains to investigate only the pre-p-nil-rings, which is the purpose of this paper.*

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**Keywords:** isomorphic, irreducibility, sub-directly, direct, annihilator

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**1.0 Introduction**

First, we determine the pre-p-nil-rings as certain algebras over the prime field  $F_p$  of characteristic p. Many pre-p-nil-rings, which may be generated by one element, arise as semi group rings or as semi group rings with a factor system of  $F_p$ , but this is not true for all pre-p-nil-rings. In the second part, we deal with sub-direct decompositions of pre-p-nil-rings. We recall that every p-ring is sub-direct sum and in the finite case even a direct sum of prime fields  $F_p$ , [4], [5]; in particular, every sub-directly irreducible p-ring is isomorphic to  $F_p$ . Just so every pre-p-nil-ring is a sub-direct sum of sub-directly irreducible pre-p-nil-rings. But here we have for every characteristic p even an infinite number of sub-directly irreducible pre-p-nil-rings (likewise of finite as of infinite order), which are not isomorphic. Another contrast to the situation with p-rings is the existence of the finite pre-p-nil-rings, which are sub-directly reducible although they have no decomposition into a direct sum. Finally, for the sub-direct irreducibility of a pre-p-nil-ring N, it is necessary (Theorem 4) and in the finite case necessary and sufficient (Theorem 5), that the annihilator of N be a principal ideal different from zero (0).

**2.0 An Algebra over  $F_p$**

By an algebra over  $F_p$ , we mean in the following a ring R (for our purposes always associative and commutative), which is also a vector space over the prime field  $F_p$  of characteristic p. Hence every element of R may be written uniquely in the form

$$\sum_{i \in I} a_i w_i, \quad a_i \in F_p, \text{ only a finite number } a_i \neq 0 \quad (2.1)$$

by the help of a basis  $\{w_i\} \subseteq R$ , where I is an index system of an arbitrary cardinal number.

**Theorem 1**

*Let R be an algebra over  $F_p$  with a basis  $\{w_i\}$  having the following properties:*

- (a)  $w_i w_i^p = w_i^p w_j$ , for every  $w_i$  and  $w_j$

(b) For every  $w_i$  there is a (minimal) natural number  $t = t(w_i) \geq 2$  with  $w_i^t = 0$ .

Then  $R$  is a pre- $p$ -nil-ring and, conversely, every pre- $p$ -nil-ring  $N$  is an algebra of this kind.

We note that (a) and (b) imply  $t(w_i) \leq p + 2$  for every  $w_i$  (see the proof of Lemma 1 in [1]), whereas conversely (a) is trivial if (b) always holds with  $t(w_i) \leq p$ .

Proof

By well-known rules for characteristics  $p$  we have for arbitrary elements (1) of the algebra  $R$

$$\begin{aligned} (\sum a_i w_i)^{p^2} &= \sum a_i w_i^{p^2} = 0, \\ (\sum a_i w_i)(\sum b_j w_j)^p &= \sum a_i b_j w_i w_j^p = \sum_j a_i b_j w_i^p w_j = (\sum a_i w_i)^p (\sum b_j w_j). \end{aligned}$$

Hence  $R$  is a pre- $p$ -nil-ring. Conversely, every pre- $p$ -nil-ring  $N$  obviously is a unitary  $F_p$ -module and therefore a vector over  $F_p$  with a basis  $\{w_i\} \subseteq N$ . Now, (a) and (b) are true even for all elements of  $N$ .

### 3.0 Commutativity Semigroup with zero, 0

With the aid of this theorem many pre- $p$ -nil-rings may easily be given. For example, one starts with a commutative semigroup  $H = \{0, w_i\}, i \in I$  with zero 0, which satisfies (a) and (b), and takes  $\{w_i\} = H \setminus \{0\}$  with the multiplication determined by  $H$  as basis of an algebra  $R$  over  $F_p$ . Such an algebra  $R$  is what we call here the *semigroup ring of  $H$  over  $F_p$* . More precisely, in a first step one has to take also  $0 \in H$  as a basis element of an algebra  $R^*$  over  $F_p$ , where  $0 \neq 0^*$  for the zero  $0^*$  of  $R^*$ . The considered ring  $R$  then arises by “identifying” of these zeros, that is, as residue class ring  $\frac{R = R^*}{(0 - 0^*)}$  of  $R^*$  modulo the ideal  $(0 - 0^*)$ ; [6]. If we choose

$$H = \{w, w^2, \Lambda, w^{t-1}, w^t = w^{t+1} = \Lambda = 0\} \quad 2 \leq t \leq p + 2 \quad (3.1)$$

is the cyclic semigroup of order  $t$  and period 1 [2], (a) and (b) hold and we have

#### Theorem 2

Every pre- $p$ -nil-ring  $N$ , which is generated by one element, is a semigroup ring  $R$  of a cyclic semigroup  $H$  of period 1 and order  $t$  ( $2 \leq t \leq p + 2$ ) over  $F_p$  and conversely. Hence for a fixed characteristic  $p$  there exist exactly  $p + 1$  pre- $p$ -nil-rings (up to isomorphism), generated by one element.

Proof

From the text above, it is clear that  $R$  is a pre- $p$ -nil-ring, generated by the element  $w$ . Conversely, let  $w$  be a generating element of a pre- $p$ -nil-ring  $N$ . Then all the powers of  $w$  form sub-semigroup (2) of  $N$ , and for the semigroup ring  $R$  of  $H$  over  $F_p$  we have  $R \subseteq N$ , from which by assumption  $R = N$ .

Let again  $H = \{0, w_i\}$  be a (commutative) semigroup with zero 0. We say that  $R$  is a *semigroup ring of  $H$  over  $F_p$  with a factor system*  $\{c_{w_i, w_j}\}$  if  $R$  is an algebra over  $F_p$  with the basis  $\{w_i\}$ , for which multiplication is defined by

$$w_i \circ w_j = c_{w_i, w_j} w_i w_j, \quad c_{w_i, w_j} \in F_p \quad (3.2)$$

(Here we have also identified the zeros as explained in the paragraph preceding Theorem 2. Hence the values of the factors  $c_{w_i, w_j}$  for  $w_i w_j = 0$  are irrelevant and may be chosen arbitrarily).

It is known that  $R$  is associative if and only if [6]  $c_{w_i, w_j} c_{w_i w_j, w_k} = c_{w_i, w_j w_k} c_{w_j, w_k}$  for  $w_i w_j w_k \neq 0$ , whereas commutativity is preserved if and only if

$$c_{w_i, w_j} = c_{w_j, w_i} \quad \text{for } w_i w_j \neq 0. \quad (3.4)$$

The special case  $c_{w_i, w_j} = 1 \in F_p$  for  $w_i, w_j$  corresponds to the semigroup ring in the above sense.

**Theorem 3**

Let  $H = \{0, w_i\}$  be a commutative semigroup with zero 0, which satisfies (a) and (b). Then every semigroup ring  $R$  of  $H$  over  $F_p$  with a factor system  $\{c_{w_i, w_j}\}$  is a pre- $p$ -nil-ring, if  $c_{w_i, w_j} \neq 0$ , for  $w_i^2 \neq 0$ .

(This condition is superfluous if  $t(w_i) \leq p$  for every  $w_i \in H$ . On the other hand, it means no loss of generality, because all semigroup rings with factor systems (yet even all monomial algebras, [7]) are included, if one only considers factor systems with  $c_{w_i, w_j} = 0 \Leftrightarrow w_i w_j = 0$ .)

Proof

From  $w_i^p = 0$ , it follows immediately that  $w_i \circ w_i = c_{w_i, w_i}^{p-1} w_i^p = 0$ . Moreover, the property (a) of the multiplication  $(\cdot)$  of the  $w_i$  carries over the multiplication  $\circ$  according to

$$w_i \circ w_j = c_{w_i, w_j}^{p-1} w_i w_j^p = c_{w_i, w_j} c_{w_i, w_i}^{p-1} w_i^p w_j = w_i \circ w_i \circ w_j$$

This is not trivial only in the case  $w_i w_j^p = w_i^p w_j \neq 0$  and  $c_{w_i, w_j} = 0$ . But then, by assumption,  $c_{w_i, w_i} \neq 0$  and  $c_{w_j, w_j} \neq 0$ ; hence the  $(p-1)$ -th powers of these factors are equal to  $1 \in F_p$ . Therefore  $R$  is a pre- $p$ -nil ring by Theorem 1.

We note at once that not all pre- $p$ -nil-rings, not even those, which are finite or sub-directly irreducible, arise as semigroup rings with a factor system according to Theorem 3 (hence also not as monomial algebras, [7]). We restrict ourselves to a simple counter-example for characteristic  $p = 2$  and consider the algebra  $N = R$  over  $F_2$  with the basis  $\{u, u^2, v, v^2, q\}$  and the multiplication table

	$u$	$u^2$	$v$	$v^2$	$q$
$u$	$u^2$	$0$	$u^2 + v^2$	$q$	$0$
$u^2$	$0$	$0$	$q$	$0$	$0$
$v$	$u^2 + v^2$	$q$	$v^2$	$0$	$0$
$v^2$	$q$	$0$	$0$	$0$	$0$
$q$	$0$	$0$	$0$	$0$	$0$

It is easy to see that by Theorem 1  $R$  is a pre-2-nil-ring. But it is impossible to choose a basis  $\{w_1, w_2, w_3, w_4, w_5\} \subseteq R$  in such a way that for every  $w_i, w_j$  the product  $w_i w_j$  is a multiple of one of the elements of this basis (that is, here of course equal to zero or to the basis element itself, since we have  $F_2$  as operator domain). In order to prove this assertion, we observe first that in each basis there must be two elements  $w_1$  and  $w_2$  such that the terms  $u$  or  $u + v$  occur in  $w_1$  and  $v$  or  $u + v$  occur in  $w_2$  (and of course not  $u + v$  in both). Then we get from

$$w_1^2, w_2^2, w_1 w_2, w_1 w_2^2 = w_1^2 w_2 \tag{3.5}$$

up to the order of succession the four linearly dependent elements  $u^2$  or  $u^2 + q, v^2$  or  $v^2 + q, u^2 + q, u^2 + v^2$  or  $u^2 + v^2 + q, q$  which cannot be obtained in the basis  $\{w_i\}$ .

**4.0 Decomposition of pre- $p$ -nil rings**

**The considerations of this section concerning the decomposition of pre- $p$ -nil-rings as sub-direct sums (for the concepts and properties of sums, used in the following, [4]) are based on the following**

**Proposition 1**

Every pre-p-nil-ring  $N$  is isomorphic to a sub-direct sum of sub-directly irreducible pre-p-nil-rings  $N_i$ , and conversely every sub-direct sum of pre-p-nil-rings is again a pre-p-nil ring.

The first part is an immediate consequence of the fact that a homomorphic image of a pre-p-nil-ring is also one. For the second, it suffices to show that the direct sum of pre-p-nil-rings  $N_i$  is also a pre-p-nil ring, which follows essentially from  $x_i^{p+2} = 0$  for every  $x_i$  of each pre-p-nil-ring  $N_i$ .

Therefore our main interest is the study of sub-directly irreducible pre-p-nil-rings. As already mentioned in the introduction, the situation is here much more complicated than for  $p$ -rings, but, some information is given in what follows, where the annihilator  $Q$  of  $N$ , that is, the ideal of all elements  $q \in N$  with  $qx = 0$  for every  $x \in N$ , is of some importance.

**Theorem 4**

Every sub-directly irreducible pre-p-nil-ring  $N$  has an annihilator  $Q \neq (0)$ , which is a principal ideal of  $N$  and hence it consists of exactly  $p$  elements  $q, 2q, \dots, pq = 0$

This assertion is even true for nilpotent rings with characteristic  $p$  and is a special case of Theorem 2 of [3].

We give first an example of pre-p-nil-ring without an annihilator ( $\neq 0$ ), which is then sub-directly reducible by Theorem 4. Let  $H$  be the commutative semigroup with zero 0, generated by the (countable) infinite set of elements  $u_1, u_2, \dots$ , with the defining relations

$$u_i^2 = 0 \text{ for every } i. \tag{4.1}$$

Then every nonzero element of  $H$  has a unique representation

$$u_{k_1} u_{k_2} \dots u_{k_m} \text{ with } k_1 \leq k_2 \leq \dots \leq k_m \tag{4.2}$$

Now we regard the semigroup ring  $N$  of  $H$  over  $F_p$  (with arbitrary characteristic  $p$ ), which is according to Section 1 a pre-p-nil-ring. This ring  $N$  has only the zero as annihilator; hence it is a ring of the desired kind. Indeed, every element  $x \neq 0$  of  $N$  is a linear combination of a finite number of elements (4.2), and we have  $xu_j \neq 0$  for every  $j$  greater than all the indices  $k_m$  of the factors  $u_{k_m}$ , which occur in the terms of  $x$ .

By the help of this example, we see that the converse of Theorem 4 is false. For this purpose we take the direct sum  $N \oplus Q$  of  $N$  with a zero-ring  $Q$  of  $p$  elements. Then  $N \oplus Q$  is a pre-p-nil-ring with principal ideal  $Q \neq (0)$  as annihilator, though it is subdirectly reducible. But we have

**Theorem 5**

A finite pre-p-nil-ring  $N$  always has an annihilator  $Q \neq (0)$  and it is subdirectly irreducible if and only if  $Q$  is a principal ideal.

Proof

For the first assertion we take an arbitrary element  $x_1 \neq 0$  of  $N$ . Neither we have  $x_1 x_2 \neq 0$  for every  $x_2 \in N$ , or there is an element  $x_2 \in N$  with  $x_1 x_2 \neq 0$ . In the same manner we regard  $(x_1 x_2) x_3$  and so on. Since  $N$  is finite and every element of  $N$  is nilpotent, we obtain in this way an annihilator element  $q = x_1 x_2 \dots x_n \neq 0$ . Hence  $Q \neq (0)$ . Moreover, it follows from this consideration, that every ideal  $A \neq (0)$  of  $N$  contains at least one annihilator element  $q \neq 0$ . Therefore the intersection of all ideals  $A \neq (0)$  of  $N$  contains  $Q$  and hence  $N$  is subdirectly irreducible. If the latter is true, then  $Q$  is a principal ideal by Theorem 4.

**Corollary 1**

Every pre-p-nil-ring  $N$ , generated by one element  $w$ , is subdirectly irreducible.

Proof

According to Theorem 2,  $N$  is the semigroup ring of the semigroup  $w, w^2, \dots, w^{(w)-1}$  and the annihilator of  $N$  is the principal ideal  $Q = (w^{(w)-1})$ .

## 5.0 Conclusion

We note that subrings of pre- $p$ -nil-ring  $N$  throughout may be subdirectly reducible. For example, suppose that  $t(w) \geq 4$ , and let  $U$  be the subring of  $N$  which is generated by the elements  $w^2, \Lambda, w^{t(w)-1}$ . Then  $w^{t(w)-2} \neq 0$  and  $w^{t(w)-1} \neq 0$  are elements of the annihilator  $Q$  of  $U$ , which is therefore not a principal ideal. Hence  $U$  is subdirectly reducible by Theorem 5. Contrasting the situation with finite  $p$ -rings (cf. the introduction),  $U$  is not directly reducible if  $t(w) = 6$ . In order to prove this, let us assume that  $U = U_1 \oplus U_2$ . Then at least in one of the  $U_i$  say in  $U_1$ , there must occur an element  $x = cw^2 + \Lambda$  with  $cw^2 \neq 0$ , perhaps among other terms. Suitable multiplications with  $w^2$  and  $w^3$  show that  $cw^{t(w)-1} \in U_1$ , then  $cw^{t(w)-2} \in U_1$  and therefore  $Q \in U_1$ . As we must have  $Q \cap U_2 \neq 0$  (cf. the proof of Theorem 5), this contradicts  $U_1 \cap U_2 = (0)$ .

Moreover, besides the pre- $p$ -nil rings generated by one element, there are many others, which are likewise subdirectly irreducible (for example, the ring presented at the end of Section 1 with the annihilator  $Q = (q)$ , or those subrings of the pre- $p$ -nil-ring  $N$  without annihilator constructed after Theorem 4, which are generated by the elements

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